

# Etch-tuning and Design of SiN Photonic Crystal Reflectors

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**Abstract:** We tune a freestanding photonic crystal reflector resonance to within 0.15 nm (0.04 linewidths) of 1550 nm using iterative hydrofluoric acid etches, and provide design considerations for creating reflectors robust against beam collimation.

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By etching a 2D photonic crystal into a freestanding dielectric membrane, it is possible to resonantly enhance the membrane's normal-incidence reflectivity at a specific wavelength [1]. Such photonic crystal reflectors (PCRs) are attractive for the field of optomechanics [2], wherein the reduced mass and increased reflectivity both serve to increase light's influence over the membrane's motion. However, standard nanolithography techniques cannot reliably produce crystals with the resonance at a pre-specified wavelength, to match (e.g.) that of a low-noise laser, an atomic transition, or another crystal. To overcome this limitation (for Si<sub>3</sub>N<sub>4</sub>, at least), we fabricate a crystal initially having too much material, and then perform a series of hydrofluoric (HF) acid dips to iteratively tune its resonance to a convenient (telecom) wavelength  $\lambda_0 = 1550$  nm. We also perform a series of simulations for these structures, and discuss design considerations for realizing high-reflectivity in the presence of a Gaussian collimated beam. More details can be found in Ref. [3].

Our PCRs are fabricated from a Si wafer coated with stoichiometric Si<sub>3</sub>N<sub>4</sub>. Membranes are initially released with a combination of backside photolithography, a reactive ion etch (RIE; removes nitride), and a KOH wet-etch (removes silicon). The crystal is then patterned into the membrane using electron-beam lithography and RIE, and the whole structure is then thinned with HF. Figure 1(a)-(c) show the 66-nm-thick PCR as fabricated, and Fig. 1(d), curve (i) shows how its transmission  $\mathcal{T}$  depends on wavelength  $\lambda$  for a 60- $\mu$ m-diameter Gaussian beam (red dot in Fig. 1(a)). The crystal resonance appears as a minimum  $\mathcal{T}_{\min}$  at  $\lambda_r = 1566$  nm. We then iteratively dip this crystal in HF and measure  $\mathcal{T}(\lambda)$  (Fig. 1(d), curves (ii)-(iv)); by the third iteration (curve (iv)), the resonant wavelength resides within 0.15 nm (0.04 linewidths) of our target  $\lambda_0 = 1550$  nm. Simulations (grey curves) predict an integrated thickness change of 8 nm, consistent with a reflectometer measurement of  $9 \pm 1$  nm. The rate of  $\lambda_r$  is found to fluctuate somewhat between iterations ( $-4.4$  nm/min (i  $\rightarrow$  ii),  $-3.2$  nm/min (ii  $\rightarrow$  iii), and  $-7.9$  nm/min (iii  $\rightarrow$  iv)), which can be mitigated by introducing fluid flow during the etch and/or by using a less aggressive HF solution and shorter dips.

Consistent with literature [4, 5], the plane-wave simulations (of an infinite crystal with periodic boundary conditions [6]) in Fig. 1(d) predict a transmission dip that is both deeper and narrower than is observed for these thin Si<sub>3</sub>N<sub>4</sub> crystals. As discussed elsewhere [3, 7], the observed resonance broadening likely arises from the superposition of plane waves – each having a different incidence angle  $\theta$  ( $\theta = 0$  corresponds to normal incidence) – present in a Gaussian collimated beam, and the resonant wavelength's dependence on  $\theta$ . To see this, note that, near a minimum in  $\mathcal{T}$ , the transmission can be approximated  $\mathcal{T} \approx \frac{1}{2}(\partial_\lambda^2 \mathcal{T})(\lambda - \lambda_r)^2$ . Additionally, keeping only the leading-order  $\theta$ -dependence, the resonant wavelength  $\lambda_r \approx \frac{1}{2}(\partial_\theta^2 \lambda_r)\theta^2$  (assumed by symmetry and verified by simulation). We can therefore average this approximate form of  $\mathcal{T}(\lambda)$  over all incidence angles  $\theta$ , weighted by the collimated beam's Gaussian distribution ( $\propto e^{-2\theta^2/\theta_D^2}$  for  $\theta_D \ll 180^\circ$ ; in our case,  $\theta_D = 1^\circ$ , as drawn in Fig. 1(d)'s inset), which produces an overall transmission minimum that is shifted by  $\Delta\lambda_r \approx \frac{1}{8}(\partial_\theta^2 \lambda_r)\theta_D^2$  and (more importantly) raised to a value  $\mathcal{T}_{\min} \approx \frac{1}{192}(\partial_\theta^4 \mathcal{T})\theta_D^4$ . A useful figure of merit is therefore the prefactor  $\frac{1}{192}\partial_\theta^4 \mathcal{T}$ , which provides a relative comparison of collimation-induced broadening for different crystal geometries, and a quick estimate of  $\mathcal{T}_{\min}$  (provided  $\mathcal{T}_{\min} \ll 1$ ).

Figure 1(e) shows a map of many simulated crystal geometries achieving  $\lambda_r = \lambda_0$  for a variety of hole diameter  $d$ , lattice constant  $a$ , and thickness  $h$  (all scaled by  $\lambda_0$ ), and Fig. 1(f) shows this figure of merit

for each. These results illustrate that the thickest simulated crystals (specifically near  $a = 0.77\lambda_0$ ) should be the most robust against collimation broadening. In the context of optomechanics, this implies a potential compromise between attainable reflectivity and mechanical mass.

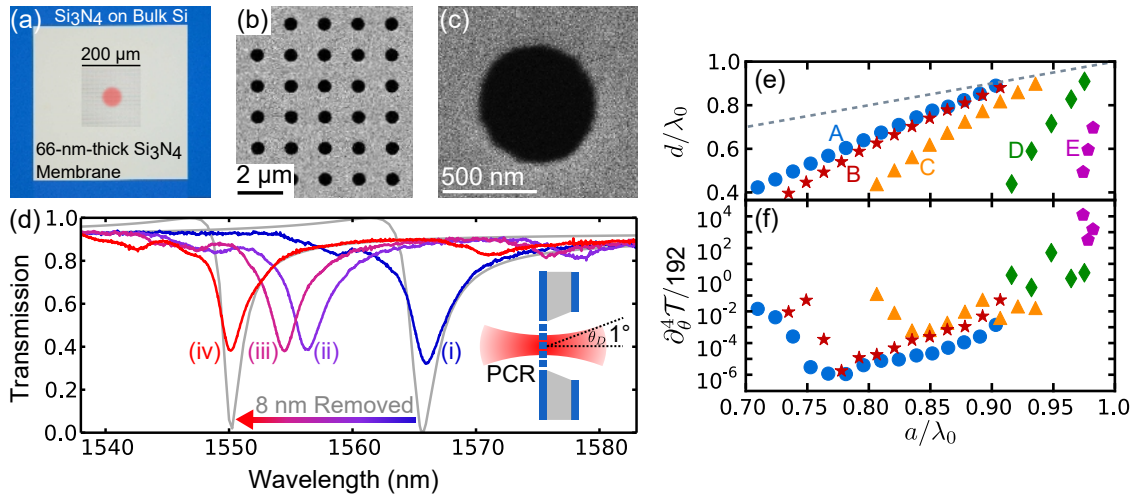


Fig. 1. (a) Freestanding  $\text{Si}_3\text{N}_4$  PCR. The red spot indicates the  $1/e^2$  laser diameter with divergence angle  $\theta_D \sim 1^\circ$  drawn in (d). (b) Crystal with hole diameter  $d=614$  nm ( $\pm 7$  nm hole-to-hole with edge roughness  $\sim 15$  nm) and lattice constant  $a=1500 \pm 6$  nm. (c) Zoom-in. (d) Transmission spectrum for the crystal as fabricated (i) and then iteratively immersed in HF acid for (ii) 130 s, and (iii) 165 s and (iv) 195 s (total), reducing  $h$  by  $9 \pm 1$  nm and increasing  $d$  by  $\sim 4$  nm. Grey curves show the simulated response to normal-incidence plane waves, assuming refractive index 2, hole diameters (i) 614 nm and (iv) 618 nm and thicknesses (i) 62.5 nm and (iv) 54.5 nm. (e) Parameter space of  $\text{Si}_3\text{N}_4$  PCRs with  $(a,d)$  combinations producing a resonant wavelength  $\lambda_r$  within 0.3% of the targeted  $\lambda_0$  for  $h=0.26\lambda_0$  (circles),  $h=0.19\lambda_0$  (stars),  $h=0.13\lambda_0$  (triangles),  $h=0.065\lambda_0$  (diamonds), and  $h=0.032\lambda_0$  (pentagons). Dashed line indicates the geometrical limit  $d = a$ . (f) Figure of merit. A-E denote “optimal”  $(a,d)$  combinations (of those simulated).

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